# DYNAMIC STRAIN AGING IN NI BASE ALLOYS WITH DIFFERENT STACKING FAULT ENERGY

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#### Abstract

Cobalt in a 23wt.% Cobalt containing Ni-base superalloy was systematically substituted for by Ni in order to investigate the effects of stacking fault energy (SFE) on dynamic strain aging. The results showed that adding Co had little effect on the phase constituents, but the SFE of  $\gamma$  matrix decreased continuously with increasing Co content. Mechanical properties tests showed that all the alloys exhibited serrated flow during tensile deformation due to dynamic strain aging (DSA) within a temperature ranges of 200°C-500°C. The starting temperature for serration improved from 200°C to 300°C with increasing the Co content from 5wt% Co in Alloy1 to 23wt% Co in Alloy3. In the serrated flow regime, all the alloys clearly exhibited the normal and inverse DSA behaviors. The tensile properties such as yield stress, ultimate tensile strength and fracture feature in DSA regime were not influenced by temperature, strain rate and Co content. A relation between high density of SF and the inverse DSA effect was found in Alloy3 with low stacking fault energy. Analysis of the results suggested that the mechanism for the inverse DSA is related to the locking of the mobile dislocation by substitutional elements.

### Introduction

Serrated flow during plastic deformation is commonly observed in many alloys within a certain regime of temperature and strain rate. This serration in alloys, generally referred to as the Portevin-Le Chatelier (PLC) effect, is considered to be an instability associated with dynamic strain aging (DSA). The dynamic interaction between diffusing solute atoms and mobile dislocations during plastic flow is generally accepted to explain the observed phenomena [1].

Dynamic strain aging has also been observed in many nickel-base superalloys with different microstructures over a range of temperatures and strain rates [2-7]. For example, negative values of strain rate sensitivity and a PLC effect have been observed in aged Waspaloy [2, 3], Udimet720Li [4] and Inconel718 [5-7]. Normal behavior, i.e., critical strain for serration ( $\varepsilon_c$ ) increases with decreasing test temperature and increasing the strain rate, is often observed in these Ni-base superalloys. However, in peak aged Waspaloy [2], Udimet720Li [4] and Inconel718 [7], the inverse behavior, i.e.,  $\varepsilon_c$  increases with increasing temperature and decreasing strain rate, is also found. Hayes et al. [2, 3] attributes the diffusion of interstitial C atoms forming an atmosphere around

mobile dislocations to be responsible for serrated yielding in Waspaloy. They further suggest that the change from normal to inverse behavior should be a result of interactions between carbon atmospheres and the  $\gamma'$  strengthening precipitates. Gopinath et al. [4] suggest that the locking of mobile dislocations by substitutional alloy elements (Cr, Co, Ti, Al, Mo and W) would be responsible for the DSA in Udimet720Li. Hale et al. [7] have identified that the lattice diffusion of interstitial C atoms at lower temperatures (127–427°C) and of substitutional Cr atoms at higher temperatures (477–627°C) is responsible for serrated yielding in Inconel 718.

From the brief literature reviews, the following points appear: (i) most of the studies explains the mechanisms for DSA on the basis of the activation energy for diffusion of different solutes in the serrated regime; (ii) at low temperature, the diffusion of C atom is responsible for the DSA, while at high temperature, there is different opinion as to which element is responsible for DSA.

Recently, Cui et al. [8], from TEM observations on the tensile deformed samples in the normal and inverse DSA regimes, suggest that the normal behavior should be associated with conventional DSA mechanism due to dynamic dislocation-solute interaction, while the inverse behavior would be related to the occurrence of stacking faults (SF) in a new Ni-Co base superalloy. Further study [9] on the heat treatment effects on DSA shows that the microstructural parameters, such as tertiary  $\gamma'$  fraction and size, have great effects on the evolution of SF. In these papers, high density of SFs is found not in the normal DSA regime, but in the inverse DSA, probably due to the low stacking energy in the Ni-Co based superalloys. It is noted that the Ni-Co base alloys contain high amounts of Co (>20wt%). The element Co has been reported to have great effect on SFE in Ni-Co binary alloys [10,11]. Recently, Yuan et al [12] have reported that the SFE has nonlinear relationship against Co content of the  $\gamma$  matrix and the lowest SFE could be attained at about 34at.%Co in the matrix of TMW alloys.

Up to now, a systematic study on the effect of SFE on DSA has not been addressed in the literature. In this work, we focus on the effect of SFE on the DSA of the Ni base superalloy. The alloys with different SFE were designed by changing Co contents. We identify the serrated flow in three alloys with different Co contents and propose an explanation for the effect of SFE on the DSA.

Table 1 Nominal chemical composition of the Ni base superalloys with different Co contents (wt.%).

	Cr	Co	Mo	W	Ti	Al	В	Zr	С	Ce	Ni
Alloy1	14	5	2.8	1.2	5.6	2.3	0.015	0.03	0.02	0.01	Bal.
Alloy2	14	15	2.8	1.2	5.6	2.3	0.015	0.03	0.02	0.01	Bal.
Alloy3	14	23	2.8	1.2	5.6	2.3	0.015	0.03	0.02	0.01	Bal.



Figure 1 Typical microstructures of the Ni-base superalloys with different Co contents. (a) and (d) represent Alloy1, (b) and (e) represent Alloy2, and (c) and (f) represent Alloy3, respectively. (a) $\sim$ (c) shows SEM images, and (d) $\sim$ (f) shows TEM images.

# **Experimental procedure**

The nominal composition of Ni-Co based superalloys is listed in Table 1. For all three alloys, 20-kg ingots were made using vacuum induction melting (VIM) followed by casting. These ingots were hot extruded into  $\phi$ 30 mm billets with extrusion ratio of 6 at about 1140°C. The extruded samples were heat-treated at 1100 °C/4 h (air cooling) followed by aging at 650 °C/24 h (air cooling) and 760 °C/16 h (air cooling) as reported for U720Li alloys [13]. Samples for optical microscopy were prepared using conventional metallographic procedures and chemically etched by a Kalling solution. The TEM disks with thickness of about 300 µm were cut from the samples perpendicular to the tensile axis. Then the disks were manually ground down to 50 µm and perforated by twin-jet electro-polisher at 40 V and 0 °C. TEM observations were carried out on a TECNAI 20 TEM operated at 200 kV.

All tensile tests were performed on the specimens having a gage section of  $\phi 3 \times 16 \text{ mm}^3$ . Tensile tests were performed on a Shimadz250KN machine at temperatures ranging from room temperature to 750 °C and initial strain rates ranging from  $3 \times 10^{-3} \text{ s}^{-1}$  to  $8 \times 10^{-5} \text{ s}^{-1}$ , respectively. The shear modulus, G and the Poisson's ratio V were measured by a RFDA HTVP 1750-C machine by using dynamic measuring method according to ASTM E1876-09.

# Results

#### Microstructures

Figure 1 shows the heat-treated microstructures of Ni-base alloys with different Co contents. All the alloys had a homogeneous microstructure which implied that the solution treatment was performed fully for all the alloys. As shown in Fig.1(a)-(c), all the alloys mainly consisted of equiaxed  $\gamma$  grain (about 30 µm) and small amounts of carbides at the grain boundaries. At high

magnification (Fig.1(d)-(f)), the microstructures revealed a sparse distribution of secondary  $\gamma'$  precipitate with size varying between 50 and 150 nm and fine  $\gamma'$  precipitate (typically less than 30 nm and formed during aging or cooling from the aging treatment). The morphology and size of  $\gamma'$  precipitate were almost the same for all the alloys with different Co content. These results indicated that adding Co had little effect on the phase constituents. Qualitative metallurgy showed that the total  $\gamma'$  fraction was not affected by Co content. Co, however, did affect the fraction of different types of  $\gamma'$ , as shown in Fig.1(d)- (f). The compositions of the secondary  $\gamma'$  were measured by TEM-EDS, as shown in Table II, which showed that the  $\gamma'$  phase existed as (Ni,Co)<sub>3</sub>(Al,Ti) in these three alloys. Adding Co was preferentially partial to the  $\gamma$  phase and increasing Co in the Alloy 2 and Alloy 3 resulted in an increase of the Co contents in the  $\gamma'$  precipitate.



Figure 2 High resolution transmission electron microscopy (HRTEM) image showed an extended dislocation in Alloy 1.

Table II The chemical composition of the  $\gamma'$  phase analyzed by TEM-EDS (wt.%).

	Cr	Co	Ti	Al	Ni
Alloy1	2.8	2.9	11.5	6.4	72.4
Alloy2	3.2	9.4	12.2	4.2	70.8
Alloy3	3.7	15.7	12.5	4.2	63.1

# SFE of the alloys

According to reference 12, the SFE can be calculated from the following equation:

$$\gamma_{SF} = \frac{Gb^2 \cdot (2-\nu)}{8\pi \cdot d \cdot (1-\nu)} \cdot \left(1 - \frac{2\nu \cdot \cos 2\Phi}{2-\nu}\right) \tag{1}$$

where  $\gamma_{\rm SF}$  is the SFE, *G* is the shear modulus, V is the Poisson's ratio, *b* is the Burgers vector of partial dislocation, *d* is the separation width of two partials (SF width),  $\Phi$  is the angle between line direction of the undissociated dislocation and the Burgers vector.

Here, the *G* and  $\nu$  were obtained by dynamic measuring method, and the values were given in Table III, b=0.15nm (assuming lattice parameter of the alloys a=0.36 nm), d was measured to be 5.2 nm from the dissociation of a perfect matrix dislocation with Burgers vector of a/2<110> in Alloy 1, as shown in Figure 2. By substituting the corresponding values, the stacking fault energy in Alloy1 was calculated to be 41.3 mJ/m<sup>2</sup>. In order to minimize the errors, another extended dislocation in different area was also measured. The average SFE of  $\gamma$  matrix in Alloy1 was calculated and listed in Table III. It is clear that the SFE decreased continuously with increasing Co content in the tested alloys.

Table III Parameters used for calculation and SFE in the tested alloys

Alloy	$C(CD_{r})$	17	Stacking f	$\gamma_{\rm SF}$	
	G(GPa)	V	$d_1^*$	$d_2^*$	$mJ/m^2$
Alloy1	86.4	0.28	3.2	5.2	40.1±1.2
Alloy2	87.7	0.26	4.0	3.8	33.3±0.9
Alloy3	89.6	0.23	5.6	8.2	24.9±0.5

d<sub>1</sub> and d<sub>2</sub> are the separation width of two partials in different area.

# Mechanical property testing

## Tensile stress-strain curves

Figure3 shows the typical true stress vs true strain curves obtained at a few temperatures at a strain rate of  $3 \times 10^{-4}$  s<sup>-1</sup>. Serrated stress-strain curves were observed at the test temperatures ranging from 200°C to 500°C, depending on the Co content in the alloys, that is, 200°C to 400°C in Alloy1, 250°C to 450°C in Alloy2 and 300°C to 500°C in Alloy3, respectively. The stress–strain curve at temperatures out of this range was smooth. There was a tendency that the starting and ending temperatures for serrations increased with increasing Co content. In addition, the elongation of all the alloys was about 15%, independent of tested temperatures.



Figure 3 Typical true stress versus strain curves of (a) Alloy1, (b) Alloy2 and (c) Alloy3 obtained at a few temperatures at a strain rate of  $3 \times 10^{-4}$  s<sup>-1</sup>. The curves are artificially offset from each other to help with visualization.

Figure 4 shows the typical true stress vs true strain curves obtained at 450 °C at strain rates ranging from  $3 \times 10^{-3}$  to  $8 \times 10^{-5}$  s<sup>-1</sup>. The serrated curves were clearly observed at high strain rates, and the serrations disappeared at low strain rate, for example, the tensile curve was smooth in the Alloy1 and Alloy2 tested at strain rate of  $8 \times 10^{-5}$  s<sup>-1</sup>. In contrast, the serrated curves were observed in the Alloy3 with high Co content in the tested strain rate. Type A, B, and C serrations were observed in the stress-strain curves. Specifically, Type A serrations were observed in all the tested alloys at lower temperatures. Type B serrations of very small

magnitude could also be observed in the tested alloys, and it disappeared at higher strains. Type C serrations were easily observed in the Alloy3 within most of the temperatures and strain rates studied, i.e. T≥400°C and  $\dot{\mathcal{E}} \leq 3 \times 10^{-3}$  s<sup>-1</sup>. Thus, Type C serrations easily occurred in the Alloy3 with low SFE.



Figure 4 Typical true stress versus strain curves of (a) Alloy1, (b) Alloy2 and (c) Alloy3 obtained at 450°C at four different strain rates. The curves are artificially offset from each other to help with visualization.



Figure 5 Variation of critical strain,  $\varepsilon_c$  for onset of serration with strain rate and temperature, (a) Alloy1, (b) Alloy2, and (c) Alloy3. The strait lines show the normal DSA, while the dash dot lines show the inverse DSA.

Serrated flow is generally seen to occur after a critical true plastic strain,  $\varepsilon_c$ , is reached as shown in Fig.3 and Fig.4. The strain corresponding to the peak of the first serrations was taken as the critical true plastic strain,  $\varepsilon_c$ , for onset of serrations.  $\varepsilon_c$  ranged mainly between 0.1% and 3%, depending on temperature and

strain rate. Figure 5 shows the variation of  $\varepsilon_c$  with  $\dot{\mathcal{E}}$  at various temperatures within the DSA regime. There were two trends in these figures: one was associated with increasing  $\varepsilon_c$  with increasing  $\dot{\mathcal{E}}$  and decreasing T, which was called normal DSA. Another was associated with increasing  $\varepsilon_c$  with decreasing  $\dot{\mathcal{E}}$  and increasing T, which was called inverse DSA effect. The normal DSA effect was observed at high  $\dot{\mathcal{E}}$  and/or low T, while the inverse DSA effect was observed at high T and low  $\dot{\mathcal{E}}$ . All these three alloys exhibited normal and inverse DSA, independent of Co content.

The previous studies of serrated flow showed that the critical strain for the onset of serration and its dependence on strain rate and temperature is very important for understanding the DSA mechanism. This dependence can be expressed as [14]:

$$\boldsymbol{\mathcal{E}}_{c}^{m+\beta} = \mathbf{K} \, \dot{\boldsymbol{\mathcal{E}}} \, \exp(\boldsymbol{Q}_{m}/kT) \qquad (2)$$

where m and  $\beta$  are exponents in the relations for variation of vacancy concentration with strain and mobile dislocation density with strain, Q is the activation energy, R is the gas constant and T is the absolute temperature. The activation energy obtained by this method was listed in Table IV. It varied from 40~120 kJ/mol. All the three alloys had the similar activation energy in the normal regime, and they also had the similar activation energy in the normal DSA regime was much lower than that in the inverse DSA regime, as reported in the references.

The variation of yield stress and ultimate tensile strength with temperature is given in Figure 6. The results indicated that the reduction of Co in the alloy has little effects on tensile properties. At room temperature, the yield stress was almost the same for all the alloys, and the tensile strength decreased slightly from 1820 MPa to 1770 MPa as Co was reduced from 23wt% to 5wt%. In addition, the yield stress of all the alloys was slightly affected by temperatures ranging from RT to 750°C, while the UTS began decreasing gradually from 500°C, and decreased markedly at temperature above 600°C.

Table IV Temperature range of the serrated curve, serration type and activation energy of three alloys.

	Temperature range	Serration type	Q (kJ/mol)	
Alloy1	200_400 °C	ΛD	Normal	44
	200~400 °C	A, D	Inverse	104
Alloy2	250 450 90		Normal	40
	250~450 °C	А, Б, С	Inverse	113
Alloy3	200 500 °C	APC	Normal	60
	500~500 °C	A, D, C	Inverse	105



Figure 6 Variation of yield stress and ultimate tensile strength with temperature. The solid symbols represent the yield stress, and the open symbols represent the ultimate tensile strength.



Figure 7 Fracture surfaces of the Alloy1((a)(d)), Alloy2((b)(e)), and Alloy3((c)(f)). (a)(b) and (c) represent the room temperature, (d)(e) and (f) represent the  $400^{\circ}$ C.

## Microstructures associated with serrated flow

# Fracture surface

Fractographic observations and stress-strain curves (Fig.3 and Fig.4) showed that no necking happened before fracture and RT and in the DSA regime. Fracture surfaces of the three alloys with different Co content are shown in Figure 7. The alloy1 showed the transgranular and intergranular fracture at room temperature (Fig.7(a)), and other samples tested at RT and 400°C showed the presence of equiaxed dimples, indicating the ductile mode of fracture in both the cases. These observations suggested that the characteristic of fracture in the serrated flow regime did not differ from those in the smooth flow regime.



Figure 8 Optical micrographs showing deformation microstructures of Alloy3 tested at (a) normal DSA regime (350°C) and (b) inverse DSA regime (450°C). The strain rate employed is  $3 \times 10^{-4}$  s<sup>-1</sup>. The stress direction is near vertical. ST means slip trace.

### Optical microscopy observation

Figure 8 provides optical micrographs of the sample's side surface showing traces of basal slip traces formed on the surface of specimens that have been subjected to fracture at different testing temperatures. It was common to observe traces of slip at both 350°C and 450°C, implying that there was no correlation between serrated flow and the operation of dislocation. In addition, the amount of deformation was greater in Fig.8(a) than Fig.8(b). This may be caused by random difference, because the elongations at these conditions were about 15%.

### TEM observation

Figure 9 shows the TEM observation in order to understand the different deformation mechanism between normal and inverse DSA. Here, we only observed the Alloy3 with low stacking fault energy, due to the time limit. High density of dislocations was formed in the  $\gamma$  matrix and it was difficult to shear the secondary  $\gamma'$  precipitate in the normal DSA regime (Fig.9(a)). In contrast, SFs were present in the inverse DSA regime, and  $\gamma'$  precipitates were sheared by nearly continuous SFs, as shown in Fig.9(b). These results were consistent with our previous study [8].



Figure 9 TEM observations on the deformed samples of the Alloy3 tested at (a) 350°C and  $3\times10^{-4}$  s<sup>-1</sup>, showing the high density of dislocations occurred in normal DSA regime, and (b) 450°C and  $3\times10^{-4}$  s<sup>-1</sup>, showing the  $\gamma'$  precipitates were sheared by the continuous SFs in the inverse DSA regime.

## Discussion

It was shown that the SFE of the alloys decreased continuously with increasing Co contents from 5wt% to 23wt% Co (Table I), and that the serrated flow was also affected by Co content in the alloys. That is, the starting temperature for serration increased from 200°C in Alloy1 to 300°C in Alloy3 with increasing the Co content (Fig.3, Fig.4 and Table IV), implying that the starting temperature for serration was affected by the SFE of the alloys. It was known that the dislocations with Burgers vector of a/2<110> could easily split into two Shockley partials with a stacking fault between them in the fcc alloy with low SFE [15]. In the Co-Ni alloys with higher Co content [16], the starting temperature for serration was reported to be about 550°C, which exceeded the temperature ranges for serration in the Ni-base alloys with low SFE. Thus, the improvement in the starting temperature for serration was related to the reduction of SFE of the alloy with Co additions.

In the present study, the true stress-strain curves were serrated at 200-500°C in tested alloys (Fig.3 and Fig.4). Similar phenomena are generally explained in the literature to be due to the formation of an atmosphere around dislocations, depending on the measured Q values. The test regimes have been classified into normal and inverse DSA. A typical value of the activation energy determined by various researchers for the normal DSA is in the range of 40-110 kJ/mol [6]. Since this value is very close to that for the diffusion of C in the Ni matrix[17], the mechanism for serrated phenomena in the normal DSA regime was attributed to interstitial carbon atoms [2,3]. However, in the inverse DSA regime, the average activation energy is around 200 kJ /mol [7,16]. Hale et al. [7] have explained the appearance of serrations at high temperature to the diffusion of carbide-forming Cr solute to dislocations. Hayes [2] attributed the high activation energy to the diffusion of Nb solute to the dislocations and the heterogeneous formation of NbC, thereby depleting the C atmospheres. However, no experimental evidence for heterogeneous precipitation of carbides on dislocations has been reported so far. In a recent study, Gopinath [4] calculated the activation energy of 80kJ/mol in U720Li and attributed the inverse DSA to the locking of the mobile dislocation by substitutional alloying elements. Because the present alloys had similar chemical composition to U720Li, the mechanism for the inverse DSA was also related to the locking of the mobile dislocation by substitutional element.

All the tested alloys showed the normal and inverse DSA (Fig.5 and Table IV). The activation energy Q was 40-60 kJ/mol in the normal DSA regime and 100-120KJ/mol in the inverse regime respectively. These results indicated that the Co had no effects on the DSA type and activation energy. As discussed above, the mechanism for the normal DSA regime was related to the interaction of interstitial C atom with mobile dislocation. Since the three alloys had the same C content, the Q region kept the same for all the three alloys. In contrast, the mechanism for the inverse DSA regime was related to the interaction of substitutional element with mobile dislocation. These alloys had the similar Q value, implying that the Co element was not responsible for the mechanism. Therefore, the mechanism of normal and inverse DSA in the Ni-Co base superalloy was consistent with the previously postulated mechanism for other alloys from the measured Q values.

From the microstructural view, high density of SFs was found not in the normal DSA regime, but in the inverse DSA (Fig.9(b)), probably due to the low stacking energy in Alloy3. So far, the effects of planar defects, such as SFs, on DSA have rarely reported in reference. Thus, there was probably a relation between the high density of stacking fault with the inverse DSA effect in the Ni-Co-base superalloy. Further works are needed to clarify the effect of SFs motion on DSA mechanism, particularly on the inverse DSA.

## Summary

In this paper, the effects of stacking fault energy on DSA in a Ni-base superalloy were investigated and the following results could be drawn:

- 1. The alloys with different Co content mainly consisted of  $\gamma$  matrix,  $\gamma'$  and small amount of carbides. Adding Co had little effect on the phase constituents, but the SFE of  $\gamma$  matrix decreased continuously with increasing Co content.
- 2. All the alloys exhibited serrated flow during tensile deformation due to DSA within an intermediate temperature range of 200°C-500°C. The starting temperature for serration increased from 200°C in Alloy1 to 300°C in Alloy 3 with increasing the Co content from 5wt% to 23wt%, showing that the starting temperature for serration was affected by the SFE of the alloys.
- 3. In the serrated flow regime, all the alloys clearly exhibited the normal and inverse DSA behaviors. The tensile properties such as yield stress, ultimate tensile strength and fracture features in DSA regime were not influenced by temperatures and strain rates.
- 4. A relation between high density of SF and the inverse DSA effect was found in the Ni base superalloys with low stacking fault energy (SFE).

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